FORM PTO-1390 U.S. DEPARTMENT OF COM	ATTORNEY 'S DOCKET NUMBER								
TRANSMITTAL LETTER	10365/07406								
DESIGNATED/ELECT	US APPLICATION NO. (If known, see 37 CFR 1 5								
CONCERNING A FILING UNDER 35 U.S.C. 371		10/031018							
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED							
PCT/US00/19524	17 July 2000 (17.07.00)	15 July 1999 (15.07.99)							
TITLE OF INVENTION METHOD, OF DESIGNING THRULIN DOLYMERIZATION STABILIZERS									
METHOD OF DESIGNING TUBULIN POLYMERIZATION STABILIZERS APPLICANT(S) FOR DO/EO/US									
Dorit ARAD, Arthur P. BOLLON, Bradley W. POLAND, David C. YOUNG									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1.XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX									
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.									
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).									
5.XX A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau).									
The state of the s									
b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US).									
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).									
a. is attached hereto.									
b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3))									
a. are attached hereto (required only if not communicated by the International Bureau).									
									
_	-								
d. A have not been made and will not be made.									
	8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).								
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Items 11 to 20 below concern documen	nt(s) or information included:								
11. An Information Disclosure Statem	nent under 37 CFR 1.97 and 1.98.								
12. An assignment document for reco	The same of the sa								
13XX A FIRST preliminary amendment									
14. A SECOND or SUBSEQUENT preliminary amendment.									
15. A substitute specification.									
16. A change of power of attorney and/or address letter.									
A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.									
	nternational application under 35 U.S.C. 154	(d)(4).							
19. A second copy of the English lan	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20. Other items or information:									
	a . *								
	•								

EXPRESS MAIL NO. <u>EL861131528US</u> DATE OF DEPOSIT 14 January 2002. I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231 on 14 January 2002 (Date of Deposit) I hereby certify that this correspondence is being

Derrick Gordon (Name of Depositor)

(Signature)

Date of Signature: 14 January 2002

U.S APPLICATIONNO (1118	1.3. 1. U.S. 1. O	INTERNATIONAL APPLICATION NO PCT/US00/19524				ATTORNEY'S DOCKET NUMBER 10365/07406		
21XX The follow	ing fees are subm	itted:			CAI	CULATIONS	PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):								
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					<u>.</u>			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$890.00					ļ			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO								
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00					l			
International preliminary examination fee (37 CFR 1.482) paid to USPTO					ĺ			
and all claims satisfied provisions of PCT Article 33(1)-(4)				S	890.00			
L					Ъ	050.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).						130.00		
CLAIMS	NUMBER FIL		NUMBER EXTRA	RATE	\$			
Total claims	64 - 20		44	x \$18.00		792.00	-	
Independent claims	7 - 3		4	x \$84.00		336.00		
MULTIPLE DEPENI	`			+ \$280.00		280.00 428.00	-	
Applicant claim			OF ABOVE CALCUTE 37 CFR 1.27. The fees		، و عد	428.00	 	
Applicant claim are reduced by		us. Dec	237 CIR 1.27. The ices	+	\$.	214.00	ł	
			SI	BTOTAL =		214.00		
Processing fee of \$1 months from the ear	30.00 for furnishi liest claimed prior	ng the l	English translation later th		\$	214.00		
TOTAL NATIONAL FEE =			\$					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$			
TOTAL FEES ENCLOSED =					\$1,	214.00		
						unt to be refunded:	\$	
					<u> </u>	charged:	\$	
a. A check in	the amount of \$		to cover th	e above fees is enclo	sed.	vgeu.		
b. XX Please charge my Deposit Account No. 18-1260 in the amount of \$1,214.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. XX The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-1260. A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO: Kan I. Knyl SIGNATURE								
l blown					SKES .			
					L. Knezek, Agent			
NAME								
39,25								
REGISTRATION NUMBER								

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dorit Arad, et al.

For:

METHOD FOR DESIGNING TUBULIN POLYMERIZATION STABILIZERS

Application Serial No.

Unassigned

Filing Date:

Concurrently herewith

International

Application No.:

PCT/US00/19524

International

Filing Date:

17 July 2000

Assistant Commissioner for Patents

Box PCT

Washington, D.C. 20231

EXPRESS MAIL NO. EL861131528US DATE OF DEPOSIT 14 January 2002 I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D C. 20231 on 14 January 2002 (Date of Deposit)

Derrick Gordon

Name of Depositor

Signature

Date of Signature. 14 January 2002

Dear Sir:

PRELIMINARY AMENDMENT

This preliminary amendment is being filed concurrently with the 35 U.S.C. §371 national stage filing of International Application No. PCT/US00/19524. In accordance with 37 C.F.R. §1.121(c)(3), this document implements changes to the claims by presenting an entire set of pending claims. An Appendix entitled Version With Markings to Show Changes Made is attached showing the current amendments to the claims in marked form. Any claim not accompanied by a marked up version should be construed as not having been changed relative to the immediate prior version thereof, if any.

IN THE CLAIMS

Please replace the previous version of the claims with the following clean version, wherein pending Claims 6 and 11 have been amended and new Claim 24 has been added.

PRELIMINARY AMENDMENT ATTORNEY DOCKET NO. 10365/07406 **PAGE 1 OF 41**

- 1. A method for designing anti-tumor compositions, comprising:
- (a) using molecular modeling software on a computer to create a plot of an active conformation of a known anti-tumor composition, said active conformation representative of a three-dimensional conformation of said known anti-tumor composition interacting with a target biological site, said plot providing a first digital representation of said active conformation, said first digital representation depicting a plurality of binding sites of said known anti-tumor composition;
- (b) using said software to eliminate portions of said first digital representation while preserving the depiction of said binding sites;
- (c) using said software to build a second digital representation of a created composition, said created composition having a three-dimensional conformation and binding sites similar to said known anti-tumor composition.
 - 2. The method of Claim 1, wherein said known anti-tumor composition has a structure including a central skeleton which is depicted in said plot, and wherein said software is utilized to eliminate said central skeleton from said depiction and to substitute therefore a second central skeleton having desired characteristics.
 - 3. The method of Claim 1, wherein said known anti-tumor composition has a structure including a central skeleton and one or more original side chains which are depicted in said plot, and wherein said software is utilized to eliminate one or more original side chains from said depiction and optionally to substitute a created side chain for one or more of said original side chains.
 - 4. The method of Claim 3, further comprising using said software to eliminate said central skeleton from said depiction and to substitute therefore a second central skeleton having desired characteristics.
 - 5. The method of Claims 1,2, 3 or 4 wherein a calculation is performed to determine a binding energy for said created composition, and wherein said created composition is further modified to improve putative binding characteristics, wherein an improved binding characteristic is characterized by a higher binding energy.

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- 6. The method of Claims 1, 2, 3 or 4, wherein said known anti-tumor composition is paclitaxel.
- 7. A method for designing a paclitaxel alternative composition, which alternative composition has a central skeleton structure composed of single or multiple ring groups which hold multiple functional groups in a fairly rigid alignment, said central skeleton structure having first, second, and third side chains;

wherein said first side chain is connected to said central skeleton with a carbonyl group at a distance of about 1.5 to 5.5 Angstroms from said central skeleton;

wherein said second side chain places an sp³ oxygen atom at a distance of about 4.5 to 7.5 Angstroms from the skeleton and about 9 to 11 Angstroms from the carbonyl oxygen of said first side chain;

wherein said third side chain is placed in an energetically accessible conformation that places an aromatic ring in a location that is simultaneously about 4 to 6 Angstroms from a substitute for hexene and about 8 to 10 Angstroms from the oxygen in said second side chain, said third side chain selected to mimic the steric and binding properties of the C2 ester in paclitaxel;

said method comprising using molecular modeling software on a computer to design said alternative composition.

- 8. The method of Claim 7, wherein said alternative composition further comprises one or more bulking groups and wherein said bulking groups increase the size of said composition to mimic the overall size and shape of the paclitaxel molecule.
- 9. The method of Claim 7, wherein said first side chain is selected and positioned to mimic the isoserine group in taxane.
- 10. The method of Claim 7, wherein said sp³ oxygen is positioned in space to simulate the position of the oxetane ring of paclitaxel.
- 11. The method of Claims 7, 8, 9 or 10, further comprising synthesizing said alternative composition.

PRELIMINARY AMENDMENT ATTORNEY DOCKET NO. 10365/07406 PAGE 3 OF 41

12. A paclitaxel compound having a chemical structure

$$\begin{array}{c|c}
R_1 & R_2 \\
X & R_3 \\
R_6 & R_4
\end{array}$$

wherein R_1 and R_2 are selected from the group consisting of: hydrogen, methyl, acetyl, ethyl, C_1 - C_4 aliphatic chain and substituted C_1 - C_6 aliphatic chain, wherein said substituted aliphatic chain is substituted once or twice with functional groups selected from the group consisting of: amide, ketone, hydroxy, phenyl, carboxylic acid, and an amino acid;

wherein X is selected from the group consisting of: O; CH₂; NH; S; S-CH₂; O-CH₂; or none;

wherein R_3 is selected from the group consisting of:

$$-NH-\overset{O}{C}-\overset{O}{C}-NH-\overset{O}{C}-O-\overset{O}{\longleftarrow}$$

$$-NH-C-C-C-NH-C-O-O$$
OH CH₂
COOH

$$-NH-\overset{O}{\overset{\parallel}{\text{C}}}-\overset{O}{\overset{\leftarrow}{\text{C}}}-\overset{O}{\overset{\leftarrow}{\text{C}}}-\text{NH}-\overset{O}{\overset{\leftarrow}{\text{C}}}-\text{O}-\overset{C}{\overset{\leftarrow}{\text{C}}}-\overset{C}{\text{CH}_3}}$$

wherein the aryl rings are unsubstituted or singly, doubly, or triply substituted with R selected from the group consisting of: H, OH, and an electron withdrawing substituent; wherein R' is selected from the group consisting of: OH and H; wherein R" is selected from the group consisting of: NHBOC and H; wherein R" is selected from the group consisting of: singly or doubly substituted aryl and fused aromatic ring; wherein R₄ is selected from the group consisting of:

$$-CH_2-X-C$$

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$$-CH_2-NH-C$$

wherein the aromatic ring is singly, doubly, or triply substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

wherein R"" is a fixed aromatic ring or a fused aromatic ring substituted any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

$$\begin{array}{c} O \\ \parallel \\ -C-O-R"" \end{array}$$

wherein R"" is selected from the group consisting of: H, cyclopropane, C_1 - C_3 hydrocarbon chain, and C_1 - C_3 substituted hydrocarbon chain wherein said substituted hydrocarbon chain is substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

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wherein R₅ is selected from the group consisting of:

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H, CH₃, C₁-C₅ hydrocarbon chain, C₁-C₅ substituted hydrocarbon chain, small hydrocarbon ring or heterocyclic ring, small substituted hydrocarbon ring or heterocyclic ring, citric acid and derivatives thereof, acetic acid and derivatives thereof, ascorbic acid and derivatives thereof, glucouroic acid or derivatives thereof, lactose, sialic acid, monosaccharides or disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms, calcium chelating molecule, oxygenated small molecule, any organic molecule that exhibits calcium-binding properties similar to tetracyclin

$$-CH_2-O-C$$

$$CH_2$$
 CH_2
 CH_2
 CH_2

wherein R₆ is selected from the group consisting of:

H, CH₃, OH, amine, C_1 - C_5 carbo-aliphatic chain substituted with two or three of the following groups selected from the group consisting of: keto, hydroxy, sulfoxy, amide, an amino acid, and ethers of the form - CH_2 -O- $(CH_2)_n$ - CH_3 where n=1-5, wherein the right hand hydrocarbon chain is unsubstituted or substituted with 1 to 5 -OH or carbonyl groups.

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13. A paclitaxel compound having a chemical structure

$$\begin{array}{c|c} R_1 & R_2 \\ \hline & X & R_3 \\ \hline & CH_2 - R_5 \end{array}$$

wherein R_1 and R_2 are selected from the group consisting of: hydrogen, methyl, acetyl, ethyl, C_1 - C_4 aliphatic chain and substituted C_1 - C_6 aliphatic chain, wherein said substituted aliphatic chain is substituted once or twice with functional groups selected from the group consisting of: amide, ketone, hydroxy, phenyl, carboxylic acid, and an amino acid;

wherein X is selected from the group consisting of: O; CH₂; NH; S; S-CH₂; O-CH₂; or none;

wherein R_3 is selected from the group consisting of:

$$-NH-\overset{O}{\overset{\parallel}{C}}-\overset{O}{\overset{\leftarrow}{C}}-NH-\overset{O}{\overset{\parallel}{C}}-O-\overset{\frown}{\overset{\leftarrow}{\overset{\leftarrow}{C}}}$$

$$-NH-\overset{O}{\overset{\parallel}{\text{C}}}-\overset{O}{\overset{\leftarrow}{\text{C}}}-\overset{O}{\overset{\leftarrow}{\text{C}}}-\text{NH}-\overset{O}{\overset{\leftarrow}{\text{C}}}-\text{O}-\overset{C}{\overset{\leftarrow}{\text{C}}}-\overset{C}{\text{CH}_3}}$$

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wherein the aryl rings are unsubstituted or singly, doubly, or triply substituted with R selected from the group consisting of: H, OH, and an electron withdrawing substituent; wherein R' is selected from the group consisting of: OH and H; wherein R" is selected from the group consisting of: NHBOC and H; wherein R" is selected from the group consisting of: singly or doubly substituted aryl and fused aromatic ring;

wherein R₄ is selected from the group consisting of:

$$-CH_2-X-C$$

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$$-CH_2-O-C$$

$$-CH_2-NH-C$$

$$R$$

wherein the aromatic ring is singly, doubly, or triply substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

$$\begin{array}{c} O \\ \parallel \\ --CH_2-O-C-R^{\prime\prime\prime\prime} \end{array}$$

wherein R"" is a fixed aromatic ring or a fused aromatic ring substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

wherein R"" is selected from the group consisting of: H, cyclopropane, C_1 - C_3 hydrocarbon chain, and C_1 - C_3 substituted hydrocarbon chain wherein said substituted hydrocarbon chain is substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

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wherein R₅ is selected from the group consisting of:

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H, CH₃, C₁-C₅ hydrocarbon chain, C₁-C₅ substituted hydrocarbon chain, small hydrocarbon ring or heterocyclic ring, small substituted hydrocarbon ring or heterocyclic ring, citric acid and derivatives thereof, acetic acid and derivatives thereof, ascorbic acid and derivatives thereof, glucouroic acid or derivatives thereof, lactose, sialic acid, monosaccharides or disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms, calcium chelating molecule, oxygenated small molecule, any organic molecule that exhibits calcium-binding properties similar to tetracyclin

$$-CH_2-O-C$$

$$-CH_2-S-C - COOH \\ H$$

$$H_2C$$
 CH_2
 $-CH_2$
 $-CH_2$

wherein R₆ is selected from the group consisting of:

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H, CH₃, OH, amine, C₁-C₅ carbo-aliphatic chain substituted with two or three of the following groups selected from the group consisting of: keto, hydroxy, sulfoxy, amide, an amino acid, ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5, wherein the right hand hydrocarbon chain is unsubstituted or substituted with 1 to 5 -OH or carbonyl groups.

14. A paclitaxel compound having a chemical structure

$$\begin{array}{c|c} R_1 & R_2 & R_3 \\ \hline & R_6 & R_4 \end{array}$$

wherein R_1 and R_2 are selected from the group consisting of: hydrogen, methyl, acetyl, ethyl, C_1 - C_4 aliphatic chain and substituted C_1 - C_6 aliphatic chain, wherein said substituted aliphatic chain is substituted once or twice with functional groups selected from the group consisting of: amide, ketone, hydroxy, phenyl, carboxylic acid, and an amino acid;

wherein X is selected from the group consisting of: O; CH₂; NH; S; S-CH₂; O-CH₂; or none;

wherein R₃ is selected from the group consisting of:

$$\begin{array}{c}
O \\
-C - C = C - \langle C \rangle \\
R \quad \text{(trans)}
\end{array}$$

$$-NH-\overset{O}{\overset{O}{\overset{}{\parallel}}}-C-\overset{O}{\overset{}{\leftarrow}}-C-NH-\overset{O}{\overset{}{\leftarrow}}-O-\overset{O}{\overset{}{\leftarrow}}$$

wherein the aryl rings are unsubstituted or singly, doubly, or triply substituted with R selected from the group consisting of: H, OH, and an electron withdrawing substituent; wherein R' is selected from the group consisting of: OH and H; wherein R" is selected from the group consisting of: NHBOC and H; wherein R" is selected from the group consisting of: singly or doubly substituted aryl and fused aromatic ring; wherein R4 is selected from the group consisting of:

$$-CH_2-X-C$$

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$$-CH_2-O-C$$

wherein the aromatic ring is singly, doubly, or triply substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

wherein R"" is a fixed aromatic ring or a fused aromatic ring substituted with R any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

wherein R"" is selected from the group consisting of: H, cyclopropane, C_1 - C_3 hydrocarbon chain, and C_1 - C_3 substituted hydrocarbon chain wherein said substituted hydrocarbon chain is substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

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wherein R₅ is selected from the group consisting of:

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hydrocarbon chain, small hydrocarbon ring or heterocyclic ring, small substituted hydrocarbon ring or heterocyclic ring, citric acid and derivatives thereof, acetic acid and derivatives thereof, ascorbic acid and derivatives thereof, glucouroic acid or derivatives thereof, lactose, sialic acid, monosaccharides or disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic

ketose, alditol or inositol forms, calcium chelating molecule, oxygenated small molecule, any organic molecule that exhibits calcium-binding properties

H, CH₃, C₁-C₅ hydrocarbon chain, C₁-C₅ substituted

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similar to tetracyclin

$$-CH_2-O-C$$

$$H_2C$$
 CH_2
 $-CH_2$
 $-CH_2$

$$-CH_2-NH-C-NH-OH$$

wherein R₆ is selected from the group consisting of:

H, CH₃, OH, amine, C₁-C₅ carbo-aliphatic chain substituted with two or three of the following groups selected from the group consisting of: keto, hydroxy, sulfoxy, amide, an amino acid, ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5, wherein the right hand hydrocarbon chain is unsubstituted or substituted with 1 to 5 -OH or carbonyl groups.

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15. A paclitaxel compound having a chemical structure

$$R_1$$
 R_2 R_3 CH_2 R_5

wherein R₁ and R₂ are selected from the group consisting of: hydrogen, methyl, acetyl, ethyl, C₁ - C₄ aliphatic chain and substituted C₁ - C₆ aliphatic chain, wherein said substituted aliphatic chain is substituted once or twice with functional groups selected from the group consisting of: amide, ketone, hydroxy, phenyl, carboxylic acid, and an amino acid;

wherein X is selected from the group consisting of: O; CH₂; NH; S; S-CH₂; O-CH₂; or none;

wherein R_3 is selected from the group consisting of:

$$\begin{array}{c}
O \\
-C - C = C - \langle C \rangle \\
R \quad \text{(trans)}
\end{array}$$

$$-NH-\overset{O}{\overset{}_{\stackrel{}{\square}}}-C-\overset{O}{\overset{}_{\stackrel{}{\square}}}-C-NH-\overset{O}{\overset{}_{\stackrel{}{\square}}}-O-\overset{\bigcirc}{\overset{}_{\stackrel{}{\square}}}$$

$$-NH-\overset{O}{\overset{\parallel}{C}}-\overset{O}{\overset{\leftarrow}{C}}-\overset{C}{\overset{\leftarrow}{C}}-NH-\overset{O}{\overset{\leftarrow}{C}}-O-\overset{C}{\overset{\leftarrow}{C}}-\overset{C}{\overset{\leftarrow}{C}}H_3}$$

wherein the aryl rings are unsubstituted or singly, doubly, or triply substituted with R selected from the group consisting of: H, OH, and an electron withdrawing substituent; wherein R' is selected from the group consisting of: OH and H; wherein R" is selected from the group consisting of: NHBOC and H; wherein R" is selected from the group consisting of: singly or doubly substituted aryl and fused aromatic ring; wherein R4 is selected from the group consisting of:

$$-CH_2-X-C$$

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$$-CH_2-O-C$$

$$-CH_2-NH-C$$

$$R$$

wherein the aromatic ring is singly, doubly, or triply substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

$$\begin{array}{c} O \\ \parallel \\ --CH_2\text{-}O-C-R \end{array}$$

wherein R"" is a fixed aromatic ring or a fused aromatic ring substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a π - π interaction;

wherein R'''' is selected from the group consisting of: H, cyclopropane, C_1 - C_3 hydrocarbon chain, and C_1 - C_3 substituted hydrocarbon chain wherein said substituted hydrocarbon chain is substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a π - π interaction;

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wherein R₅ is selected from the group consisting of:

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hydrocarbon chain, small hydrocarbon ring or heterocyclic ring, small substituted hydrocarbon ring or

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acetic acid and derivatives thereof, ascorbic acid and derivatives thereof, glucouroic acid or derivatives

thereof, lactose, sialic acid, monosaccharides or

heterocyclic ring, citric acid and derivatives thereof,

H, CH₃, C₁-C₅ hydrocarbon chain, C₁-C₅ substituted

disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose,

mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms, calcium chelating

molecule, oxygenated small molecule, any organic molecule that exhibits calcium-binding properties

similar to tetracyclin

$$-CH_2-O-C$$

$$-CH_2-O-\overset{S}{C}-\overset{S}{\bigcirc}$$

$$H_2C$$
 CH_2
 $-CH_2$
 CH_2

$$\begin{array}{c} O \\ \parallel \\ ---CH_2-NH-C--NH--OH \end{array}$$

wherein R₆ is selected from the group consisting of:

$$-$$
C $-$ CH₂OH

H, CH₃, OH, amine, C₁-C₅ carbo-aliphatic chain substituted with two or three of the following groups selected from the group consisting of: keto, hydroxy, sulfoxy, amide, an amino acid, ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5, wherein the right hand hydrocarbon chain is unsubstituted or substituted with 1 to 5 -OH or carbonyl groups.

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16. A paclitaxel compound having the following bicyclo-octane chemical structure

$$R_1$$
 R_2
 R_3
 R_5
 R_6

wherein R₁ and R₂ are selected from the group consisting of: hydrogen, methyl, acetyl, ethyl, C₁ - C₄ aliphatic chain and substituted C₁ - C₆ aliphatic chain, wherein said substituted aliphatic chain is substituted once or twice with functional groups selected from the group consisting of: amide, ketone, hydroxy, phenyl, carboxylic acid, and an amino acid;

wherein X is selected from the group consisting of: O; CH₂; NH; S; S-CH₂; and O-CH₂;

wherein R₃ is selected from the group consisting of:

$$\begin{array}{c}
O \\
-C - C = C - \\
R \quad \text{(trans)}
\end{array}$$

$$-NH-C-C-C-NH-C-O-$$

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wherein the aryl rings are unsubstituted or singly, doubly, or triply substituted with R selected from the group consisting of: H, OH, and an electron withdrawing substituent; wherein R' is selected from the group consisting of: OH and H; wherein R" is selected from the group consisting of: NHBOC and H; wherein R" is selected from the group consisting of: singly or doubly substituted aryl and fused aromatic ring;

wherein R₄ is selected from the group consisting of:

$$-CH_2-X-C$$

$$-CH_2-O-C$$

wherein the aromatic ring is singly, doubly, or triply substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

wherein R"" is a fixed aromatic ring or a fused aromatic ring substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

wherein R"" is selected from the group consisting of: H, cyclopropane, C_1 - C_3 hydrocarbon chain, and C_1 - C_3 substituted hydrocarbon chain wherein said substituted hydrocarbon chain is substituted with any electron-withdrawing substituent compatible with the system which provides a lower energy gap in a Π - Π interaction;

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wherein R_5 is selected from the group consisting of:

hydrocarbon chain, small hydrocarbon ring or heterocyclic ring, small substituted hydrocarbon ring or heterocyclic ring, citric acid and derivatives thereof, acetic acid and derivatives thereof, ascorbic acid and derivatives thereof, glucouroic acid or derivatives thereof, lactose, sialic acid, monosaccharides or disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms, calcium chelating molecule, oxygenated small molecule, any organic

molecule that exhibits calcium-binding properties

H, CH₃, C₁-C₅ hydrocarbon chain, C₁-C₅ substituted

similar to tetracyclin

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$$OH$$
 H_2C
 CH_2
 OH
 CH_2
 OH

75 wherein R_6 is selected from the group consisting of:

$${\displaystyle \mathop{-}^{O}_{-C-CH_2OH}^{O}}$$

$$\begin{array}{ccc} O & O \\ \parallel & \parallel \\ -C-CH_2O-C-CH_3 \end{array}$$

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H, $CH_{3;}$, OH, amine, C_1 - C_5 carbo-aliphatic chain substituted with two or three of the following groups selected from the group consisting of: keto, hydroxy, sulfoxy, amide, an amino acid, ethers of the form - CH_2 -O-(CH_2)_n- CH_3 where n=1-5, wherein the right hand hydrocarbon chain is unsubstituted or substituted with 1 to 5 -OH or carbonyl groups.

- 17. The compound of claim 12, 13, 14, 15, or 16, wherein the amino acid identity of R_1 or R_2 is selected from the group consisting of asparagine, glutamine, aspartic acid, glutamic acid, threonine, serine and tyrosine.
- 18. The compound of claim 12, 13, 14, 15, or 16, wherein R_1 is chosen from the group consisting of H and CH_3 .
- 19. The compound of claim 12, 13, 14, 15, or 16, wherein R₂ is chosen from the group consisting of CH₃, CH₂OCOCH₃,

wherein R is H or singly, doubly, or triply substituted or fused; and

wherein R' is selected from the group consisting of H and CH₃.

- 20. The compound of claim 12, 13, 14, 15, or 16, wherein R'' is selected from the group consisting of imidazol ring, tryptophan unsubstituted or substituted with carboxylic acid derivatives.
- 21. The compound of claim 12, 13, 14, 15, or 16, wherein R₃ is any group derived from the 13 position in taxane's skeleton that exhibits activity toward inhibiting the depolymerization of microtubules or anticancer activity.
- 22. The compound of claim 12, 13, 14, 15, or 16, wherein the oxygenated small molecule of R₅ is selected from the group consisting of dipeptides of "ASP-ASN", or "GLY-GLN" and the cyclic dipeptide of "PHE-GLN."
- 23. The compound of claim 12, 13, 14, 15, or 16, wherein the amino acid of R₆ is selected from the group consisting of serine, asparagine, and threonine.
- 24. The method of Claim 5, wherein said known anti-tumor composition is paclitaxel.

REMARKS

Prior to this Preliminary Amendment, Claims 1-23 as presented in the annex to the International Preliminary Examination Report were pending. With this Preliminary Amendment, Claims 6 and 11 have been amended and new Claim 24 has been added. Payment for the fees associated with the addition of these claims has been directed on the Transmittal Letter to the United States Designated/Elected Office Concerning a Filing Under 35 U.S.C. §371 filed concurrently herewith.

Respectfully submitted,

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14 January 2002

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APPENDIX

VERSION WITH MARKINGS TO SHOW CHANGES MADE

The following is a marked-up version of the changes to claims which are being made in the attached Preliminary Amendment. Added material is underlined, and deleted material is bracketed.

IN THE CLAIMS

- 6. The method of Claims 1, 2, 3[,] or 4[, 5], wherein said known anti-tumor composition is paclitaxel.
- 11. The method of Claims [7-11] <u>7, 8, 9 or 10</u>, further comprising synthesizing said alternative composition.
- 24. The method of Claim 5, wherein said known anti-tumor composition is paclitaxel.

METHOD OF DESIGNING TUBULIN POLYMERIZATION STABILIZERS

TECHNICAL FIELD OF INVENTION

This invention relates a method of designing paclitaxel alternative compounds.

BACKGROUND

Paclitaxel is a complex diterpenoid sold commercially as TAXOL® (Bristol-Myers-Squibb). Paclitaxel and many of its derivative have been reported to possess potent antileukemia activity as well as significant anticancer activity against carcinomas of the ovaries, breast, lung, bladder, esophagus, head, and neck. (Rowinsky, E.K. and Donehower, R.C. 1991. "The clinical pharmacology and use of antimicrotubule agents in cancer chemotherapeutics," *Pharmacol Ther* 52:35-84; and Rowinsky, E. K. 1994. "Update on the antitumor activity of paclitaxel in clinical trials," *Ann Pharmacother* 28(5 Suppl): S18-22).

Paclitaxel and its derivatives are represented by the following chemical formula. For paclitaxel, R = acetyl; $R_1 = OH$; and $R_2 = NHCOC_6H_5$. For the derivative docetaxel, R = OH; $R_1 = OH$; $R_2 = NHCOOC(CH_3)_3$.

$$R_{1}$$
 C CH_{3} CH_{3}

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The structure for the taxane skeleton is represented as follows:

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$$R_3O$$
 CH_3
 CH_3
 CH_3
 CH_3
 CH_3
 CH_3
 $C=O$
 CH_3

The mechanism of action for paclitaxel has been previously determined. (Derry, et al. 1997. "Taxol differentially modulates the dynamics of microtubules assembled from unfractionated and purified beta-tubulin isotypes," *Biochemistry* 36:3554-3562). Its anti-tumor activity is due to its ability to bind to beta-tubulin in assembled microtubules and stabilize them (Manfredi, J.J. and Horwitz, S.B. 1984. "Taxol: an antimitotic agent with a new mechanism of action," *Pharmacol Ther* 25:83-125; and Horwitz, S.B. 1992. "Mechanism of action of taxol," *Trends Pharmacol Sci* 13:134-136). *In vivo*, paclitaxel affects spindle function during mitosis, resulting in cell cycle arrest in G2/M phase. *In vitro*, paclitaxel promotes microtubule assembly and prevents their disassembly under conditions which would otherwise cause depolymerization (Schiff, et al. 1979. "Promotion of microtubule assembly in vitro by taxol" *Nature* 277:665-667; and Pamess, J. and Horwitz, S.B. 1981 "Taxol binds to polymerized tubulin *in vitro*," *J Cell Biol* 91:479-487).

The x-ray structure of paclitaxel bound to its receptor has been previously reported. (Nogales, et al. 1998. "Structure of the alpha beta tubulin dimer by electron crystallography," *Nature* 391:199-203; erratum published in *Nature* 393:191). The alpha-beta heterodimer is the structural subunit of microtubules, which are cytoskeletal elements essential for intracellular transport and cell division in eukaryotes. The structures of alpha- and beta-tubulin are identical with each monomer being formed by a core of two beta-sheets surrounded by alpha-helices. The monomer structure is divided into three functional domains: the amino-terminal domain containing the nucleotide-binding region, an intermediate domain containing the

paclitaxel-binding site, and the carboxy-terminal domain, which is reported to constitute the binding surface for motor proteins.

Despite the anticancer and antileukemia activity of paclitaxel, there are distinct disadvantages of using paclitaxel as a therapeutic agent. For example, the synthesis and/or production of paclitaxel from natural sources is very complex and costly. Paclitaxel is highly toxic, often being toxic at therapeutic levels. Paclitaxel's low solubility causes complications in preparation and administration of therapeutic dosages.

Numerous patents have issued which disclose paclitaxel derivatives in which substitutions have been made on the taxane skeleton. For example, U.S. Patent No. 4,814,470 issued March 21, 1989, discloses paclitaxel derivatives which reportedly have anticancer activity greater than paclitaxel itself. Referring to the chemical formula given above, the chemical formulas for these derivatives consist of R = H or acetyl; $R_1 = OH$ or tert-butoxycarbonylamino; and $R_2 = OH$ when $R_1 = tert$ butoxycarbonylamino or R_2 = tert-butoxycarbonylamino when R_1 = OH. U.S. Patent No. 4,206,221 issued June 3, 1980, discloses paclitaxel derivatives for the remission of leukemia in animals. Referring to the chemical formula given above, the chemical formulas for these derivatives consist of R = acetyl; $R_1 = OH$; and $R_2 = acetyl$ NHCOCCH₃CHCH₃. Among other examples are U.S. Patent No. 5,635,531 disclosing 3'aminocarbonyloxy paclitaxels, U.S. Patent No. 5,912,264 disclosing 6halo- or nitrate-substituted paclitaxels, U.S. Patent No. 6,017,935 disclosing 7-sulfur substituted paclitaxels, and U.S. Patent No. 5,977,386 disclosing 6-thio-substituted paclitaxels. By making substitutions on the taxane skeleton, derivatives demonstrating anticancer activity have been found.

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Compounds have also been disclosed which are not paclitaxel derivatives but exhibit "paclitaxel activity", i.e., the inhibition of depolymerization of microtubules. A marine natural product (+)-discodermolide has been reported to possess tubulinpolymerizing and antitumor properties. (Hung, et al. 1996. "(+)-Discodermolide binds to microtubules in stoichiometric ratio to tubulin dimers, blocks taxol binding and

results in mitotic arrest," *Chem Biol* 3:287-293). The basic structure of discodermolide is as follows:

A synthetic anticancer agent known as GS-164 having the following chemical structure has been reported to stimulate microtubule polymerization.

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Comparative conformational analysis reportedly indicated that the structure of GS-164 mimics the minimum essential sites of TAXOL® required to exhibit TAXOL®-like properties. (Shintani, et al. 1997. "GS-164, a small synthetic compound, stimulates tubulin polymerization by a similar mechanism to that of Taxol," *Cancer Chemother Pharmacol* 40:513-520.)

Disadvantages have also been associated with some paclitaxel derivatives. For example, many paclitaxel derivatives reported to date have not had the steric conformational properties of natural paclitaxel, nor has there been the ability to change the right side of the molecule by combinatorial chemistry with carbohydrates, calcium chelators, or oxygenated small molecules.

A method has been found by which compounds exhibiting paclitaxel-like activity and having distinct advantages over paclitaxel and known derivatives can be synthesized.

SUMMARY OF THE INVENTION

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In one aspect, the present invention is a method for designing anti-tumor compositions, comprising: (a) using molecular modeling software on a computer to create a plot of an active conformation of a known anti-tumor composition, the active conformation representative of a three-dimensional conformation of the known antitumor composition interacting with a target biological site, the plot providing a first digital representation of the active conformation, the first digital representation depicting a plurality of binding sites of the known anti-tumor composition; (b) using the software to eliminate portions of the first digital representation while preserving the depiction of the binding sites; and (c) using the software to build a second digital representation of a created composition, the created composition having a threedimensional conformation and binding sites similar to the known anti-tumor composition. Preferably, the known anti-tumor composition has a structure including a central skeleton which is depicted in the plot, and the software is utilized to eliminate the central skeleton from the depiction and to substitute therefore a second central skeleton having desired characteristics. More preferably, the known antitumor composition has a structure including a central skeleton and one or more original side chains which are depicted in the plot, and the software is utilized to eliminate one or more original side chains from the depiction and optionally to substitute a created side chain for one or more of the original side chains. The method can further comprise using the software to eliminate the central skeleton from the depiction and to substitute therefore a second central skeleton having desired characteristics. Preferably, a calculation is performed to determine a binding energy for the created composition, and the created composition is further modified to improve putative binding characteristics, wherein an improved binding characteristic is characterized by a higher binding energy. An exemplary known anti-tumor composition is paclitaxel.

In another aspect, the invention is a method for designing a paclitaxel alternative composition, which alternative composition has a central skeleton structure composed of single or multiple ring groups which hold multiple functional groups in a fairly rigid alignment, the central skeleton structure having first, second, and third side

chains; wherein the first side chain is connected to the central skeleton with a carbonyl group at a distance of about 1.5 to 5.5 Angstroms from the central skeleton; herein the second side chain places an sp³ oxygen atom at a distance of about 4.5 to 7.5 Angstroms from the skeleton and about 9 to 11 Angstroms from the carbonyl oxygen of the first side chain; wherein the third side chain is placed in an energetically accessible conformation that places an aromatic ring in a location that is simultaneously about 4 to 6 Angstroms from a substitute for hexene and about 8 to 10 Angstroms from the oxygen in the second side chain, the third side chain selected to mimic the steric and binding properties of the C2 ester in paclitaxel; wherein the method comprises using molecular modeling software on a computer to design the alternative composition. The alternative composition can further comprise one or more bulking groups and wherein the bulking groups increase the size of the composition to mimic the overall size and shape of the paclitaxel molecule. Preferably, the first side chain is selected and positioned to mimic the isoserine group in taxane. Preferably, the sp³ oxygen is positioned in space to simulate the position of the oxetane ring of paclitaxel. Preferably, the method further comprises synthesizing said alternative composition.

In another aspect, the invention is a paclitaxel compound having a chemical structure selected from one of the following norbonyls

$$R_1$$
 R_2
 $x-R_3$
 R_6
 R_4
 R_5
 R_6
 R_4

$$R_2$$
 $X-R_3$
 R_4
 R_5
 R_6
 R_4
 R_6
 R_4
 R_6
 R_4
 R_6
 R_6

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wherein R₁ or R₂ or both R₁ and R₂ are hydrogen, methyl, acetyl, ethyl, short aliphatic chain (C₁ - C₄), or substituted aliphatic chain (C₁ - C₆) where substitution includes in one or two of the R₁ organic functional groups such as an amide; ketone; hydroxy; phenyl; carboxylic acid; an amino acid, for example, asparagine, glutamine, aspartic acid, glutamic acid, threonine, serine or tyrosine. Preferable chemical structures are obtained with the following:

 $R_1 = H \text{ or } CH_{3}$

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 $R_2 = CH_3$; CH_2OCOCH_3 ; or

wherein R is H or singly, doubly, or triply substituted or fused; or

wherein R' is H or CH₃;

wherein X = O; CH_2 ; NH; S; $S-CH_2$; $O-CH_2$ or none;

wherein R_3 is one of the following:

wherein R' = OH when R" = NHBOC; R' = H when R" = NHBOC; R' = OH when R" = H; R' = H when R" = H

(These substituents are still active in paclitaxel per Guenard, et al. 1993. "Structure-activity relationships of taxol and taxotere analogues," *J Natl Cancer Inst*

Monogr 15:79-82.);

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wherein R' is as given above and R'" can also be substituted aryl (single or double) or fused aromatic ring as in tryptophan or imidazol ring, or substituted tryptophan; preferably, the aromatic ring can be substituted with carboxylic acid derivatives;

$$\begin{array}{c}
O \\
-C - C = C - \langle C \rangle \\
R \quad \text{(trans)}
\end{array}$$

or

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$$-NH-C-C-C-NH-C-O$$

or

or

or

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For the aryl groups in R₃, R can be H or singly, doubly, or triply substituted OH or preferably with electron withdrawing substituents such as fluoro (F') or trifluoromethyl (CF₃'). R₃ can also be any group derived from the 13 position in taxane's skeleton that exhibits activity toward inhibiting the depolymerization of microtubules and/or anticancer activity;

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wherein R₄ is one of the following:

$$-CH_2-X-C$$

$$-CH_2-NH-\overset{O}{C}-\overset{O}{\longleftarrow}$$

when the aromatic ring is singly, doubly, or triply substituted;

or

$$--CH_2-O-C-R''''$$

where R''' is a fixed aromatic ring or substituted fused aromatic ring; or

wherein R'''' can be H or a short nonsubstituted or substituted hydrocarbon chain C_n wherein n = 1-3 or cyclopropane;

One or more substitution can be made on the aromatic ring of R₄. Preferably, the substituent(s) on the substituted aromatic ring is an electron withdrawing substituent. Examples include fluoro- and chloro-substitution, but any electron-withdrawing substituent

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compatible with the system may be used which provides a lower energy gap in a π - π interaction between the composition and aromatic amino acids of proteins;

wherein R₅ is one of the following:

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or H; or CH3; or small nonsubstituted or substituted hydrocarbon C_n where n = 1-5; or small nonsubstituted or substituted hydrocarbon ring or heterocyclic ring; or citric acid and derivatives thereof; or acetic acid and derivatives thereof; or ascorbic acid and derivatives thereof; or glucouroic acid or derivatives thereof; or lactose, sialic acid, or monosaccharides or disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms; or calcium chelating molecule or oxygenated small molecule, i.e., small carboxylic acids; or a dipeptide such as "ASP-ASN" or "GLY-GLN", a cyclic dipeptide such as "PHE-GLN", or small organic molecules that mimic the functional properties of these peptides; or any organic molecule that exhibits calciumbinding properties similar to tetracyclin as given below

or

or

$$-CH_2-O-\overset{S}{C}$$

or

or

$$OH$$
 H_2C
 CH_2
 $-CH_2$
 $-CH_2$

or

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or

or

or

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or in some cases can also be any of the R₄ groups;

wherein R_6 and/or $R_6{}^{\prime}$, which can be the same or different, is one of the following:

or H; or CH₃; or OH; or amine or short carbo-aliphatic chain, substituted with two or three of the following: keto, hydroxy, sulfoxy, amide, or an amino acid residue such as serine, asparagine, or threonine; or ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5 and the right hand hydrocarbon chain may be substituted with up to five -OH or carbonyl groups;

In anther aspect the invention is a paclitaxel compound having the following bicyclo-octane chemical structure

$$R_1$$
 R_2
 R_3
 R_5
 R_4

wherein R₁ or R₂ or both R₁ and R₂ are hydrogen, methyl, acetyl, ethyl, short aliphatic chain (C₁ - C₄), or substituted aliphatic chain (C₁ - C₆) where substitution includes in one or two of the R₁ organic functional groups such as an amide; ketone; hydroxy; phenyl; carboxylic acid; an amino acid, for example, asparagine, glutamine, aspartic acid, glutamic acid, threonine, serine or tyrosine. Preferable chemical structures are obtained with the following:

$$R_1 = H \text{ or } CH_{3}$$

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$$R_2 = CH_3$$
; CH_2OCOCH_3 ; or

$$CH_2$$
 R

wherein R is H or singly, doubly, or triply substituted or fused; or

wherein R' is H or CH₃;

wherein X = O; CH_2 ; NH; S; $S-CH_2$; $O-CH_2$ or none; wherein R_3 is one of the following:

wherein R' = OH when R" = NHBOC; R' = H when R" = NHBOC; R' = OH when R" = H; R' = H when R" = H
(These substituents are still active in paclitaxel per Guenard, et al. 1993. "Structure-activity relationships of taxol and taxotere analogues," *J Natl Cancer Inst Monogr* 15:79-82.);

wherein R' is as given above and R'" can also be substituted aryl (single or double) or fused aromatic ring as in tryptophan or imidazol ring, or substituted tryptophan; preferably, the aromatic ring can be substituted with carboxylic acid derivatives;

$$\begin{array}{c}
O \\
-C - C = C - \\
R
\end{array}$$
(trans)

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or

or

or

$$-NH-\overset{O}{C}-\overset{O}{C}-NH-\overset{O}{C}-O$$

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$$-NH-C-C-C-NH-C-O-COH$$

or

$$-NH-\overset{O}{C}-\overset{O}{C}-\overset{C}{C}-NH-\overset{O}{C}-O-\overset{C}{C}-\overset{C}{C}H_3$$

For the aryl groups in R₃, R can be H or singly, doubly, or triply substituted OH or preferably with electron withdrawing substituents such as fluoro (F⁻) or trifluoromethyl (CF₃⁻). R₃ can also be any group derived from the 13 position in taxane's skeleton that exhibits activity toward inhibiting the depolymerization of microtubules and/or anticancer activity;

wherein R₄ is one of the following:

$$-CH_2-X-C$$

$$-CH_2-O-C$$

$$-CH_2-NH-C$$

$$R$$

when the aromatic ring is singly, doubly, or triply substituted;

or

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where R"" is a fixed aromatic ring or substituted fused aromatic ring; or

wherein R'''' can be H or a short nonsubstituted or substituted hydrocarbon chain C_n wherein n = 1-3 or cyclopropane;

One or more substitution can be made on the aromatic ring of R_4 . Preferably, the substituent(s) on the substituted aromatic ring is an electron withdrawing substituent. Examples include fluoro- and chloro-substitution, but any electron-withdrawing substituent compatible with the system may be used which provides a lower energy gap in a Π - Π interaction between the composition and aromatic amino acids of proteins;

wherein R₅ is one of the following:

or H; or CH_3 ; or small nonsubstituted or substituted hydrocarbon C_n where n=1-5; or small nonsubstituted or substituted hydrocarbon ring or heterocyclic ring; or citric acid and derivatives thereof; or acetic acid and derivatives thereof; or ascorbic acid and derivatives thereof; or glucouroic acid or derivatives thereof; or lactose, sialic acid, or monosaccharides or disaccharides

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of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms; or calcium chelating molecule or oxygenated small molecule, i.e., small carboxylic acids; or a dipeptide such as "ASP-ASN" or "GLY-GLN", a cyclic dipeptide such as "PHE-GLN", or small organic molecules that mimic the functional properties of these peptides; or any organic molecule that exhibits calciumbinding properties similar to tetracyclin as given below

or

$$-CH_2-O-C$$

ΩF

$$-CH_2-O-C$$

or

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or

$$CH_2$$
 CH_2
 CH_2
 CH_2

or

or

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or

or

or in some cases can also be any of the R_4 groups; wherein R_6 and/or $R_6{}^\prime$, which can be the same or different, is one of the following:

$$\overset{O}{=}$$
C $-$ CH₂OH

or H; or CH₃; or OH; or amine or short carbo-aliphatic chain, substituted with two or three of the following: keto, hydroxy, sulfoxy, amide, or an amino acid residue such as serine, asparagine, or threonine; or ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5 and the right hand hydrocarbon chain may be substituted with up to five -OH or carbonyl groups;

BRIEF DESCRIPTION OF THE DRAWINGS

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Fig. 1A-1E depict the five steps of the computational drug design method used. Fig. 1A depicts the structure of paclitaxel in the tubulin binding site with the paclitaxel skeleton and non-active side chains removed and the position of the modified side chain, C3 ester group and oxetane oxygen atom maintained. Fig. 1B depicts the

addition of a selected central skeleton, bicyclo[3.2.1]octane. Fig. 1C depicts the connection of the ester group using a CH₂ group. Fig. 1D depicts the addition of a chain that allows the remaining oxygen to be in the correct location. Fig. 1E depicts the addition of an acetyl group to the new skeleton to mimic the paclitaxel C10 acetyl.

Fig. 2 depicts the synthesis scheme resulting in the diene **D** which is the reactant for the Diels-Alder reaction.

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- Fig. 3 depicts the Diels-Alder reaction between Substance (**D**) and Substance (**H**₁) followed by the combinatorial addition of chlorinated carbohydrate and subsequent removal of the t-butyl-dimethyl-silyl protective group (TBDMS) to yield the substituted norbornyl Product (V_1).
- Fig. 4 depicts the Diels-Alder reaction between Substance (**D**) and Substance (**H₂**) followed by the combinatorial addition of chlorinated carbohydrates and other small functionalized Ryodow carbon chains and rings, and subsequent removal of the t-butyl-dimethyl-silyl protective group (TBDMS) to yield the substituted norbornyl Product (V_2).
- Fig. 5 depicts the Diels-Alder reaction between Substance (**D**) and Substance (**H₃**) followed by the combinatorial addition of chlorinated carbohydrate and subsequent removal of the t-butyl-dimethyl-silyl protective group (TBDMS) to yield the substituted norbornyl Product (V_3), wherein n=2 or 3.
- Fig. 6 depicts the preparation of norbornene-1,4-diester (I). Two methods of preparation are presented: Route A beginning from 1,4-dicarboxymethyl-ester-cyclohexadiene and Route B beginning from munoic acid.
- Fig. 7 depicts the preparation of the diol diester Substance (K_6) starting from norbornene-1,4-diester, Substance (I).
- Fig. 8 depicts the preparation of the substituted norbornyl Product (V_1) from the diol diester Substance (K_6) .

Fig. 9A-9G depicts schemes for synthesizing substituted bicyclo-octanes.

DETAILED DESCRIPTION

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A method of synthesizing tubulin polymerization stabilizers has now been found. These stabilizing compounds in solution possess steric conformational properties of natural paclitaxel and are capable of binding to the tubulin protein at the same site where paclitaxel is known to bind and with the same or stronger binding energies as paclitaxel. These compounds stabilize tubulin polymerization in a way that is mechanistically equivalent to activity mechanism of paclitaxel. The compounds made by the present invention are paclitaxel-alternative molecules and are referred to hereinafter as the "EB compounds." These compounds have increased solubility, simpler synthesis, and the possibility for specificity and optimization due to the combinatorial reactions over natural paclitaxel.

Computational molecular modeling studies were used to define the properties of the EB compounds necessary to mimic the shape and binding of paclitaxel in tubulin. These studies were performed using the molecular mechanics force fields CHARMM and MMFF; however, it would be understood by one of skill in the art that other force fields could also be used. Conformational search and docking procedures were used to compute both the conformational energies and binding in the tubulin active site. These technques are commercially available in software packages that include but are not limited to QUANTATM (Molecular Simulations, Inc., San Diego CA, U.S.A.), Insight II® and associated modules (Molecular Simulations, Inc., San Diego CA, U.S.A.), Cerius²® and associated modules (Molecular Simulations, Inc., San Diego CA, U.S.A.), Molecular Operating Environment (MOE; Chemical Computing Groups, Inc., Montreal, Quebec, Canada), and CHARMm® (Molecular Simulations, Inc., San Diego CA, U.S.A.).

The EB compounds of the present invention are designed using the following computational modeling technique.

Step 1: The structure of a compound known to have anti-tumor activity is obtained in its active conformation, e.g. in the tubulin binding site;

Step 2: The central skeleton is eliminated from the structure, along with any side chains known not to be crucial to its activity;

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- Step 3: A new central skeleton is built that will hold the side chains not removed in Step 2 in the same orientation. Additional functional groups can be optionally added to mimic the sterics of the active compound (i.e., its overall shape);
- Step 4: Conformation search techniques are used to find an energetically accessible conformer of the compound with a conformation similar to that of the active conformer;
- Step 5: A docking calculation is used to determine the binding energy for the new compound, in the conformation from Step 4, in the tubulin binding site;
- Step 6: Further changes are optionally made to the structure in order to improve the binding characteristics.

The EB compounds of the present invention are all characterized by the following structural and physicochemical features:

- (1) Central skeleton: a central skeleton structure composed of single or multiple ring groups which include norbornyls, borane, noradamantane, adamantane, biotin, cyclopropane, cyclobutane, cyclopentane, cyclohexane, cyclohexene, cycloheptane, and bicyclo-octane. The skeleton holds multiple functional groups in a fairly rigid alignment equivalent to the taxane skeleton. Structurally, the skeleton replaces the six membered hexene ring in paclitaxel. For the biotin skeleton, the two fused five membered rings act to structurally replace the paclitaxel hexene ring. The central skeleton structure has various side chains labeled as R groups.
- (2) Side Chain 1: a side chain connected to the central skeleton with a carbonyl group at a distance of about 1.5 to 5.5 Angstroms from the skeleton. This is the taxane iso-serine group or R_{3} ,
- (3) Side Chain 2: a side chain that places an sp³ oxygen atom at a distance of about 4.5 to 7.5 Angstroms from the skeleton. One conformation of this side chain should allow this oxygen atom to be oriented in space similar to that of the oxygen in

the oxetane ring of paclitaxel. Specifically, the oxygen should be about 9 to 11 Angstroms from the carbonyl oxygen mentioned in Side Chain 1 above in one of the energetically accessible conformers of the molecule. This is the R₅ group and in some cases the R₂ group;

- (4) Side Chain 3: a group that mimics the steric and binding properties of the C2 ester in paclitaxel. This is R₇ or R₄ or some of the larger R₂ groups. The groups should be able to adopt an energetically accessible conformation that places an aromatic ring in a location that is simultaneously about 4 to 6 Angstroms from the hexene substitute, about 8 to 10 Angstroms from the carbonyl oxygen specified in part (2) above, and about 4 to 6 Angstroms from the oxygen specified in Side Chain 2 above; and
- (5) additional groups that allow the overall size and shape of the molecule to be similar to paclitaxel. These are the groups R_1 , R_2 , R_6 and in some cases R_4 .

Representative chemical structures for the EB compounds are given below:

15 norbornyls

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$$R_1$$
 R_2
 $X-R_3$
 R_6
 R_4

$$R_1$$
 R_2
 $X-R_3$
 R_6
 R_4

$$R_1$$
 R_2
 $X-R_3$
 R_6
 R_4
 CH_2

$$R_1$$
 R_2
 $X-R_3$
 R_6
 CH_2

borane

$$R_3$$
 R_6
 R_4

noradamantane

$$R_3$$
 R_6
 R_4

5 adamantane

$$R_3$$
 R_6
 R_4

biotin

$$R$$
 R_3
 R_5
 R_4

cyclopropane

$$R_7$$
 $X-R_3$ R_7 $O-R_5$

cyclobutane

$$X-R_3$$
 $X-R_5$

cyclopentane

$$R_4$$

5 cyclohexane

$$R_4 \cdots \left(\begin{array}{c} X - R_3 \\ \vdots \\ R_5 \end{array} \right)$$

cyclohexene

$$R_4$$
 R_5 R_5

$$R_5$$
 CH_3
 CH_2
 CH_2
 CH_3
 CH_2
 CH_3
 CH_2
 CH_3
 CH_2
 CH_3
 CH_3

cycloheptane

$$R_4$$

bicyclo[3,2,1]octane

$$R_1$$
 R_2
 R_3
 R_5
 R_4

5 bicyclo[2,1,1]hexane

$$R_1$$
 $X-R_3$
 R_6
 R_6
 R_4

bicyclo[1,1,1]pentane

$$R_1 \xrightarrow{R_2} X - R_3$$

$$R_6 \xrightarrow{R_4} R_5$$

heterocyclic compound I

heterocyclic compound II

5 and heterocyclic compound III

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wherein R₁ or R₂ or both R₁ and R₂ are hydrogen, methyl, acetyl, ethyl, short aliphatic chain (C₁ - C₄), or substituted aliphatic chain (C₁ - C₆) where substitution includes in one or two of the R₁ organic functional groups such as an amide; ketone; hydroxy; phenyl; carboxylic acid; an amino acid, for example,

asparagine, glutamine, aspartic acid, glutamic acid, threonine, serine or tyrosine. Preferable chemical structures are obtained with the following:

 $R_1 = H \text{ or } CH_3$;

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 $R_2 = CH_3$; CH_2OCOCH_3 ; or

wherein R is H or singly, doubly, or triply substituted or fused; or

wherein R' is H or CH₃;

wherein X = O; CH_2 ; NH; S; $S-CH_2$; $O-CH_2$ or none; wherein R_3 is one of the following:

wherein R' = OH when R" = NHBOC; R' = H when R" = NHBOC; R' = OH when R" = H; R' = H when R" = H

(These substituents are still active in paclitaxel per Guenard, et al. 1993. "Structure-activity relationships of taxol and taxotere analogues," *J Natl Cancer Inst Monogr* 15:79-82.);

wherein R' is as given above and R'" can also be substituted aryl (single or double) or fused aromatic ring as in tryptophan or imidazol ring, or substituted

tryptophan; preferably, the aromatic ring can be substituted with carboxylic acid derivatives;

$$\begin{array}{c}
O \\
-C - C = C - \langle C \rangle \\
R \quad \text{(trans)}
\end{array}$$

or

or

or

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or

$$-NH-\overset{O}{\overset{}_{\stackrel{}{\parallel}}}-C-\overset{O}{\overset{}_{\stackrel{}{\parallel}}}-C-NH-\overset{O}{\overset{}_{\stackrel{}{\leftarrow}}}-C-C-\overset{C}{\overset{}_{\stackrel{}{\leftarrow}}}-C+3}$$

For the aryl groups in R₃, R can be H or singly, doubly, or triply substituted OH or preferably with electron withdrawing substituents such as fluoro (F) or trifluoromethyl (CF₃). R₃ can also be any group derived from the 13 position in taxane's skeleton that exhibits activity toward inhibiting the depolymerization of microtubules and/or anticancer activity;

wherein R₄ is one of the following:

$$-CH_2-X-C$$

$$-CH_2-O-\overset{O}{C}-\overset{O}{\longleftarrow}$$

$$-CH_2-NH-C$$

when the aromatic ring is singly, doubly, or triply substituted;

or

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where R"" is a fixed aromatic ring or substituted fused aromatic ring; or

wherein R'''' can be H or a short nonsubstituted or substituted hydrocarbon chain C_n wherein n = 1-3 or cyclopropane;

One or more substitution can be made on the aromatic ring of R_4 . Preferably, the substituent(s) on the substituted aromatic ring is an electron withdrawing substituent. Examples include fluoro- and chloro-substitution, but any electron-withdrawing substituent compatible with the system may be used which provides a lower energy gap in a Π - Π interaction between the composition and aromatic amino acids of proteins;

wherein R₅ is one of the following:

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or H; or CH3; or small nonsubstituted or substituted hydrocarbon C_n where n = 1-5; or small nonsubstituted or substituted hydrocarbon ring or heterocyclic ring; or citric acid and derivatives thereof; or acetic acid and derivatives thereof; or ascorbic acid and derivatives thereof; or glucouroic acid or derivatives thereof; or lactose, sialic acid, or monosaccharides or disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms; or calcium chelating molecule or oxygenated small molecule, i.e., small carboxylic acids; or a dipeptide such as "ASP-ASN" or "GLY-GLN", a cyclic dipeptide such as "PHE-GLN", or small organic molecules that mimic the functional properties of these peptides; or any organic molecule that exhibits calciumbinding properties similar to tetracyclin as given below

or

or

5 or

or

$$OH$$
 H_2C
 CH_2
 OH
 CH_2

or

$$-CH_2-NH-C-NH-OH$$

or

or

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or in some cases can also be any of the R_4 groups;

wherein R_6 and/or R_6 , which can be the same or different, is one of the following:

or H; or CH₃, or OH; or amine or short carbo-aliphatic chain, substituted with two or three of the following: keto, hydroxy, sulfoxy, amide, or an amino acid residue such as serine, asparagine, or threonine; or ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5 and the right hand hydrocarbon chain may be substituted with up to five -OH or carbonyl groups;

wherein R_7 is O-R₄, or NN, or NN-(C=O)-phenyl, or NN-(C=S)-phenyl; and wherein R_8 is the following:

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$$-o$$

The EB compounds of the present invention can be synthesized using conventional techniques using available starting materials. Representative synthesis schemes are presented in Fig. 2-10E and some of the examples given below. The synthesis methods provided result in racemic mixtures; however, it is within the skill of one in the art to prepare and separate the diasteromers to isolate the preferred chiral compound. All such forms of these compounds are expressly included in the present invention.

Example 1: Designing A Representative EB Compound Using Computational Technique

The bicyclo[3.2.1] octane compound having the chemical structure given below was designed using the following procedure:

Step 1: The structure of docetaxel in the tubulin binding site was obtained. The docetaxel skeleton and non-active side chains were removed, while holding the

modified side chain, C3 ester group and oxetane oxygen atom in place. This results in the structure illustrated in Fig. 1A.

Step 2: A skeleton was selected which will hold these side chains. Selecting the bicyclo[3.2.1] octane skeleton results in the structure illustrated in Fig. 1B;

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- Step 3: The ester group was connected to the skeleton. Using a CH₂ group to connect them results in the structure illustrated in Fig. 1C;
- Step 4: A chain was constructed that will allow the remaining oxygen atom to be in the correct location. This results in the structure illustrated in Fig. 1D;
- Step 5: Functional groups were added so that the new skeleton will take up about the same amount of space as the bulkier docetaxel skeleton. In this case, an acetyl group was added to match the location of the docetaxel C10 acetyl, resulting the structure illustrated in Fig. 1E;
- Step 6: Existing conformational search techniques were used to compute a list of conformers of the molecule and their associated conformational energies. If an energetically accessible conformer that is similar in shape to docetaxel in its binding conformation was found, that conformer was used in Step 7. Otherwise the process was repeated with different skeleton and R groups;
- Step 7: The energetically accessible conformers were used in a docking calculation to determine the binding energy of the compound in the tubulin active site as shown in Example 2; and

Step 8: Compound showing an acceptable binding energy in Step 7 were then synthesized and assayed.

Example 2: Computation of Binding Energies

The binding energies were obtained computationally for the EB compound given in Example 1 by the following procedure:

- Step 1: The molecular structure was obtained according to Example 1.
- Step 2: Computational comformation searches on the molecule were performed using MOE software. The MMFF94 force field was used to compute energies. Both the RIPS and Hybrid Monte Carlo conformation search algorithms were used. This yielded a number of conformers of the molecule along with their relative conformational energies. Some of the lowest energies obtained were:

 $E_1 = 235.0108 \text{ kcal/mol}$

 $E_2 = 237.0030 \text{ kcal/mol}$

 $E_3 = 237.8409 \text{ kcal/mol}$

 $E_4 = 239.0317 \text{ kcal/mol}$

 $E_5 = 242.6232 \text{ kcal/mol}$

 $E_6 = 243.2933 \text{ kcal/mol}$

 $E_7 = 243.6304 \text{ kcal/mol}$

 $E_8 = 243.7124 \text{ kcal/mol}$

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Step 3: The conformation of these conformers were examined by overlaying the computed conformer with the structure of a compound known to have a drug activity in its active conformation. For this study, the paclitaxel derivative docetaxel (TAXOTERE®, Rhone-Poulenc Rorer) in its active conformation was obtained from the protein data bank. In this study, the lowest energy compound had a comformation very similar to the active conformation of docetaxel. This conformer was used in the subsequent steps.

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Step 4: A model of the binding site was obtained. The structure of tubulin with docetaxel bound in the active site was obtained from the protein data bank. The structure of the tubulin/docetaxel complex was minimized using the MMFF force

field. The docetaxel was then removed from the structure and the resuling geometry for tubulin was used for the docking calculations.

Step 5: Docking calculations were run using the docking algorithm in the MOE software and the MMFF94 force field. The binding energies that are reported are the sum of the intermolecular electrostatic term and the intermolecular nonbond (or van der Waals) term in the MMFF force field. The binding energy for our compound was -138.7597 kcal/mol, as compared to the binding energy for docetaxel of -134.0277 kcal/mol when compared by the same method.

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Step 6: Finding a new compound with an energetically accessible conformation that has a binding energy similar to that of a known active compound is considered a positive result for the computational prediction of new compounds. Compounds showing preferred binding energies were then synthesized.

Example 3: Designing A Representative EB Compound Using Computational Technique and Computation of Binding Energies

The following compound was designed as given in Example 1 and the binding energies were determined as given in Example 2.

The binding energy for this compound was determined to be -165.7084 kcal/mol.

Example 4: Synthesis Of A Substituted Norbornyl

Synthesis of Diene Reactant (Substance D) for Diels - Alder Reaction

Now referring to Fig. 2, which sets forth the synthesis scheme resulting in Diene Substance (**D**), the starting material was a 2-cyclopenten-1-one molecule which can be protected in its 5 position by reacting with carboxyl-trimethyl-silane in the presence of lithium di-isopropylamide (LDA) and tetrahydrofuran(THF) to yield Substance (**A**). Substance (**A**) then was condensed via a Michael condensation with I-t-butyl-dimethyl-silyloxy-acetic acid-methyl ester to yield condensation Substance (**B**). An additional Michael condensation (O-alkylation) with a series of acid chlorides substituted with R₃ would yield the diene Substance (**C**). Selective desilylation (removal of the TMS-trimethyl-silyl groups) followed by decarboxylation to yield Substance (**D**) which is the diene reactant for the Diels Alder reaction.

Synthesis of Dienophile (Substance H) for Diels Alder Reaction

The dienophile Substance (**H**) (H₁ in Fig. 3; H₂ in Fig. 4; H₃ in Fig. 5) was obtained from a Wittig reaction of dihydroxy acetone and phosphonyl-yilide followed by mono esterification with benzoic acid (or any R4) to yield Substance (**H**).

Diels-Alder Reaction

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Substance (**D**) and Substance (**H**) were mixed under conditions known to the art for Diels-Alder reactions and published in Corey, et al. 1969. *J Am Chem Soc* 91:5675. The product of the Diels-Alder Reaction was an addition product, Substance (**G**) (G₁ in Fig. 3; G₂ in Fig. 4; G₃ in Fig. 5).

Combinatorial Addition of Carbohydrates or Chelating Agents

Chlorinated carbohydrates or calcium chelators may be reacted with Substance (G) (G₁ in Fig. 3; G₂ in Fig. 4; G₃ in Fig. 5). Shown in Fig. 3 is the reaction of a chlorinated carbohydrate ("sugar-chloride") to yield the Adduct (L) (L₁ in Fig. 3; L₂ in Fig. 4; L₃ in Fig. 5), which was then reacted with potassium fluoride salt to remove the t-butyl-di-methyl-silyl(t-BuDMSi) protective group to yield the substituted norbornyl Product (V) (V₁ in Fig. 3; V₂ in Fig. 4; V₃ in Fig. 5).

Example 5: Synthesis Of Substituted Norbornyl

Preparation of Norbornene-1,4-diester

Now referring to Fig. 6, two alternatives are shown for the synthesis of norbornene-1,4-diester Substance (I): Route A and Route B. In Route A, 1,4,-dicarboxymethyl-ester-cyclohexadiene, Substance (E), is reacted with diazomethane, according to the procedure of Guha et al, *Chem. Abstr.* 34:2822 (1940) to yield Substance (I). In Route B, munoic acid, Substance (J), was reacted with diazomethane and heated to undergo a rearrangement as described in Guha et al., *Berichte* 70:2109 (1937) to yield cyclopentene dicarboxymethylester, Substance (F). Substance (F) was then reacted with lithium dimethyl amide (LDA) according to Della et al, *Austral. J. Chem* 38:1705 (1985) and 1-bromo-2-chloro-ethane to yield Substance (I).

Preparation of Diol ester

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As shown in Fig. 7, Substance (I) was reacted with catecholborane, as described in Brands et al., Tetrahedron Lett. 33:5887 (1992), followed by Jones oxidation to yield Substance (K_1). Substance (K_1) was reacted with lithium and pyridine according to Meinwald et al, J. Org. Chem. 35:1891 (1970); see also McMurry, Org. Reactions 24:188. Substance (K₁) underwent selective nucleophilic demethylation to yield the monoester Substance (K_2) . Substance (K_2) was reacted with phosphonyl-ylide (Wittig reaction) according to Organophosphorus Reagents in Organic Synthesis. 1979. J.I.G. Coutogan (ed), Academic Press, London, pp. 17-153 and Maryanoff, et al. 1989. Chem Rev 89:863 to yield Substance (K₃). Sustance (K₃) was reacted with the reagent (COCl)₂ followed by tri-trimethylsilyloxy ethylene, according to Wissner, J. Org. Chem. 44:4617(1979) and Kende et al., Tetrahedron Lett. 23:1751 (1982), to yield the Substance (K_4). The hydroxy group of Substance (K_4) was then protected with a t-butyl-dimethylsilylchloride (TBDMSCI) to yield Substance (K_5) . The carboxyethylester group in position 1 of the norbornyl skeleton in Substance (K₅) then underwent two reactions: formation of the acid chloride carboxyl inversion and O-acylation with the appropriate R₃ group, followed by oxidation of the methylene at the norbornyl 5th position (exo attack) with osmium

tetraoxide (OsO₄) and esterification with benzoyl chloride (or any other R_4 acid chloride group) to yield Substance (K_6).

Combinatorial addition of carbohydrate or chelating agent

Referring to Fig. 8, Substance (K_6) was then reacted with any carbohydrate chloride (also known as "sugar chloride" or "chlorinated carbohydrate") or parallel reagent to yield Substance (L_1) which was then reacted with HF in pyridine to yield Product (V_1).

Example 6: Tubulin Polymerization Assays

Various assays can be used to demonstrate binding of the EB compounds of the present invention to tubulin.

Tubulin Polymerization Assay.

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Tubulin polymerization activity is assayed using the Microtubule/Tubulin Biochem Kit purchased from Cytoskeleton. The assay mixture contains the following:

	10µl	GTP (100mM)
15	21µl	DMSO
	200µl	Tubulin (5 mg/ml)
	130 μl	Test compound (1.5 mM)
	670 µl	PEM (80mM Piperazine-N,N'-bis[2-
		ethanesulfonic acid] sequisodiumsalt; 0.5
20		mM Magnesium chloride; 1mM Ethylene
		glycol-bis(b-amio-ethyl ether) N,N, N', N'-
		tetra-acetic acid, pH6.9)
	1000µl	Total Volume

The assay mixture is immediately placed in a Beckman DU640 UV/VIS spectrophotometer at 24°C. Tubulin polymerization is monitored by measuring the absorbance at 340 mn every 60 seconds for one hour. The absorbance plots are then

连手 "别" 人,我是没事的,这些有真实表现的感觉。

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compared to a paclitaxel standard in order to compare relative tubulin polymerization activities.

Tubulin Polymerization at 37 °C/Incubation with Test Compound.

The absorbance at 340 nm of the mixture listed in the table above is initially read at 24 °C. The mixture is then transferred to a 37 °C water bath and incubated for 20 minutes. The absorbance at 340 nm is read then read using a Beckman DU640 spectrophotometer. Further readings are collected every 20 minutes up to a total of 80 minutes. The mixture remain at 37 °C between readings. The absorbance trend is compared to a standard containing 130 μ l of 30% DMSO in place of the test compound.

Tubulin Polymerization in the Presence of Paclitaxel

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In order to determine whether or not the test compounds compete for the paclitaxel binding site on the tubulin protein without enhancing polymerization, the above mixture containing the test compound isincubated at 37 °C for 20 minutes. At this time, the mixture istransferred to a Beckman DU640 spectrophotometer and 10 μ M paclitaxel added. The absorbance at 340 nm is measured every 60 seconds for one hour and compared to a standard containing 130 μ l of 30% DMSO in place of the test compound along with 10 μ M paclitaxel.

Colorimetric assay for cell viability and proliferation of BT-20 breast cancer cells

The following colorimetric assay is used to detect and measure cell viability, activation and proliferation of BT-20 breast cancer cells after incubation with and without the paclitaxel compounds of the present invention. Sodium 3'[1[(phenylamino)-carbonyl]-bis(4-methoxy-6-nitro) benzene-sulfonic acid hydrate was used in a colorimetric assay for cell viability and proliferation by BT-20 breast cancer cells. Cleavage of XTT by dehydrogenase enzymes of metabolically active cells yields a highly colored formazan product which is water soluble. Bioreduction of XTT can be potentiated by the addition of electron coupling agents such as phenazine methosulfate (PMS) or menadione (MEN). Optimal concentrations of PMS

or MEN were determined. Assays were performed essentially as in Roehm, N.W.; Rodgers, G.H.; Hartfield, S.M.; Glasebrook, A.L.; Journal of immunological Methods, 142 (1991) 257-265. Briefly, solutions of 1 mg/mL XTT in 60°C DMEM media and 25 mM PMS in deionized water are prepared (the XTT solution must be prepared fresh each day, although PMS is stable at 2-8°C in the dark for at least three months). Then thiol - free DMEM is warmed to 60°C and subsequently 15 μ L of the 25 mM stock solution is mixed with 3 mL of XTT/media giving a PMS concentration of 125 μ M. Immediately 25 μ L of XTT/PMS solution is added to 100 μ L of culture in each well giving final concentrations of 0.2 mg/mL XTT and 25 μ M PMS. Cells were incubated at 37°C for 4-8 hours before reading the absorbance at 470 nm.

Tubulin depolymerization assay

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Screening compounds for microtubule destabilization activity are accomplished with the CytoDYNAMIX ScreenTM 6 (Cytoskeleton, Inc.). Briefly, tubulin are polymerized to steady state by the addition of one volume G-PEM (80 mM piperazine-N,N'-bis[2-ethanesulfonic acid] sequisodium salt, 0.5 mM magnesium chloride, 1 mM ethylene glycol-bis(b-amino-ethyl ether) N,N,N'N'-tetraacetic acid pH = 6.9, and 10 mM GTP) plus 10% glycerol and incubating at 37°C for 30 minutes. Test compounds are then prepared in 120 μL G-PEM in a 96-well plate at 37°C. The test compound and polymerized tubulin are mixed in a 3:1 ratio. The absorbance at 340 nm is measured over time and compared to the paclitexal standard.

The EB compounds of the present invention can be applied to therapeutic treatments of diseases such as cancer of various type, polycystic kidney disease, and inflammation and related uses. Generally, any disease which involves cell division can be adressed by these novel molecules.

It should be understood that the compounds of this invention may be modified by appropriate functionalities to enhance selective biological properties. Such modifications are known in the art and include those which increase biological penetration into a given biological system (e.g., blood, lymphatic system, central nervous system), increase oral availability, increase solubility to allow administration

by injection, alter metabolism and alter rate of excretion. In addition, the compounds may be altered to pro-drug form such that the desired compound is created in the body of the patient as the result of the action of metabolic or other biochemical processes on the pro-drug.

The compounds of this invention may be employed in a conventional manner for the treatment of diseases. Such methods of treatment, their dosage levels and requirements would be understood by one of ordinary skill in the art from available methods and techniques. For example, a compound of this invention may be combined with a pharmaceutically acceptable adjuvant for administration to a patient suffering from cancer in a pharmaceutically acceptable manner and in an amount effective to lessen the severity of that disease.

The EB compounds may be employed in pharmaceutical compositions either alone or together with other compounds of this invention. The compounds of this invention may also be co-administered either concommitantly or sequentially with other therapeutic drugs to increase the effect of therapy. The pharmaceutical compositions can be administered orally, parenterally, by inhalation spray, topically, rectally, nasally, buccally, vaginally or via an implanted reservoir. The pharmaceutical compositions are preferably administered by intravenous infusion in water, sodium chloride or any other suitable intravenous infusion solution.

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WE CLAIM:

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- 1. A method for designing anti-tumor compositions, comprising:
- (a) using molecular modeling software on a computer to create a plot of an active conformation of a known anti-tumor composition, said active conformation representative of a three-dimensional conformation of said known anti-tumor composition interacting with a target biological site, said plot providing a first digital representation of said active conformation, said first digital representation depicting a plurality of binding sites of said known anti-tumor composition;
- (b) using said software to eliminate portions of said first digital representation while preserving the depiction of said binding sites;
- (c) using said software to build a second digital representation of a created composition, said created composition having a three-dimensional conformation and binding sites similar to said known anti-tumor composition.
- 2. The method of Claim 1, wherein said known anti-tumor composition has a structure including a central skeleton which is depicted in said plot, and wherein said software is utilized to eliminate said central skeleton from said depiction and to substitute therefore a second central skeleton having desired characteristics.
- 3. The method of Claim 1, wherein said known anti-tumor composition has a structure including a central skeleton and one or more original side chains which are depicted in said plot, and wherein said software is utilized to eliminate one or more original side chains from said depiction and optionally to substitute a created side chain for one or more of said original side chains.
- 4. The method of Claim 3, further comprising using said software to eliminate said central skeleton from said depiction and to substitute therefore a second central skeleton having desired characteristics.

5. The method of Claims 1,2, 3 or 4 wherein a calculation is performed to determine a binding energy for said created composition, and wherein said created composition is further modified to improve putative binding characteristics, wherein an improved binding characteristic is characterized by a higher binding energy.

- 6. The method of Claims 1, 2, 3, 4, or 5 wherein said known anti-tumor composition is paclitaxel.
- 7. A method for designing a paclitaxel alternative composition, which alternative composition has a central skeleton structure composed of single or multiple ring groups which hold multiple functional groups in a fairly rigid alignment, said central skeleton structure having first, second, and third side chains;

wherein said first side chain is connected to said central skeleton with a carbonyl group at a distance of about 1.5 to 5.5 Angstroms from said central skeleton;

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wherein said second side chain places an sp³ oxygen atom at a distance of about 4.5 to 7.5 Angstroms from the skeleton and about 9 to 11 Angstroms from the carbonyl oxygen of said first side chain;

wherein said third side chain is placed in an energetically accessible conformation that places an aromatic ring in a location that is simultaneously about 4 to 6 Angstroms from a substitute for hexene and about 8 to 10 Angstroms from the oxygen in said second side chain, said third side chain selected to mimic the steric and binding properties of the C2 ester in paclitaxel;

said method comprising using molecular modeling software on a computer to design said alternative composition.

- 8. The method of Claim 7, wherein said alternative composition further comprises one or more bulking groups and wherein said bulking groups increase the size of said composition to mimic the overall size and shape of the paclitaxel molecule.
- 9. The method of Claim 7, wherein said first side chain is selected and positioned to mimic the isoserine group in taxane.

10. The method of Claim 7, wherein said sp³ oxygen is positioned in space to simulate the position of the oxetane ring of paclitaxel.

- 11. The method of Claims 7-11 further comprising synthesizing said alternative composition.
- 12. A paclitaxel compound having a chemical structure selected from one of the following norbonyls

$$R_1$$
 R_2
 $X-R_3$
 R_6
 R_4

$$R_1$$
 R_2
 $X-R_3$
 R_6
 R_4

$$R_1$$
 R_2
 $X-R_3$
 R_6
 R_4
 CH_2

$$R_1$$
 R_2
 $X-R_3$
 R_6
 R_4
 CH_2

wherein R_1 or R_2 or both R_1 and R_2 are hydrogen, methyl, acetyl, ethyl, short aliphatic chain $(C_1 - C_4)$, or substituted aliphatic chain $(C_1 - C_6)$ where substitution includes in one or two of the R_1 organic functional groups such as an amide; ketone; hydroxy; phenyl; carboxylic acid; an amino acid, for example, asparagine, glutamine, aspartic acid, glutamic acid, threonine, serine or tyrosine. Preferable chemical structures are obtained with the following:

$$R_1 = H \text{ or } CH_{3}$$

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$$R_2 = CH_3$$
; CH_2OCOCH_3 ; or

$$CH_2$$
 R

$$CH_3CH_2$$
 R

wherein R is H or singly, doubly, or triply substituted or fused; or

wherein R' is H or CH₃;

wherein X = O; CH_2 ; NH; S; $S-CH_2$; $O-CH_2$ or none;

wherein R₃ is one of the following:

wherein R' = OH when R" = NHBOC; R' = H when R" = NHBOC; R' = OH when R" = H; R' = H when R" = H

(These substituents are still active in paclitaxel per Guenard, et al. 1993. "Structure-activity relationships of taxol and taxotere analogues," J Natl Cancer Inst Monogr 15:79-82.);

wherein R' is as given above and R'" can also be substituted aryl (single or double) or fused aromatic ring as in tryptophan or imidazol ring, or substituted tryptophan; preferably, the aromatic ring can be substituted with carboxylic acid derivatives;

25

or

or

or

$$-NH-\overset{O}{\overset{}_{\stackrel{}{\square}}}-C-\overset{O}{\overset{}_{\stackrel{}{\square}}}-C-NH-\overset{O}{\overset{}_{\stackrel{}{\square}}}-O-\overset{\bigcirc}{\overset{}_{\stackrel{}{\square}}}$$

40

or

$$-NH-\overset{O}{\overset{\parallel}{\text{C}}}-\overset{O}{\overset{\parallel}{\text{C}}}-\overset{C}{\overset{\leftarrow}{\text{C}}}-NH-\overset{O}{\overset{\leftarrow}{\text{C}}}-O-\overset{C}{\overset{\leftarrow}{\text{C}}}-\overset{C}{\overset{\leftarrow}{\text{C}}}+3}$$

45

For the aryl groups in R₃, R can be H or singly, doubly, or triply substituted OH or preferably with electron withdrawing substituents such as fluoro (F⁻) or trifluoromethyl (CF₃⁻). R₃ can also be any group derived from the 13 position in taxane's skeleton that exhibits activity toward inhibiting the depolymerization of microtubules and/or anticancer activity;

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wherein R₄ is one of the following:

$$-CH_2-X-\overset{S}{C}$$

$$-CH_2-O-C$$

$$-CH_2-NH-C$$

$$R$$

55

when the aromatic ring is singly, doubly, or triply substituted;

or

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where R"" is a fixed aromatic ring or substituted fused aromatic ring; or

wherein R"" can be H or a short nonsubstituted or substituted hydrocarbon chain C_n wherein n = 1-3 or cyclopropane;

65

One or more substitution can be made on the aromatic ring of R_4 . Preferably, the substituent(s) on the substituted aromatic ring is an electron withdrawing substituent. Examples include fluoro- and chloro-substitution, but any electron-withdrawing substituent compatible with the system may be used which provides a lower energy gap in a π - π interaction between the composition and aromatic amino acids of proteins;

70

wherein R₅ is one of the following:

75

or H; or CH_3 ; or small nonsubstituted or substituted hydrocarbon C_n where n=1-5; or small nonsubstituted or substituted hydrocarbon ring or heterocyclic ring; or citric acid and derivatives thereof; or acetic acid and derivatives thereof; or ascorbic acid and derivatives thereof; or glucouroic acid or derivatives thereof; or lactose, sialic acid, or monosaccharides or disaccharides

of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms; or calcium chelating molecule or oxygenated small molecule, i.e., small carboxylic acids; or a dipeptide such as "ASP-ASN" or "GLY-GLN", a cyclic dipeptide such as "PHE-GLN", or small organic molecules that mimic the functional properties of these peptides; or any organic molecule that exhibits calciumbinding properties similar to tetracyclin as given below

or

or

or

85

90

or

$$OH$$
 H_2C
 CH_2
 OH
 CH_2
 OH

or

or

or

or

105

or in some cases can also be any of the R4 groups;

wherein R₆ and/or R₆', which can be the same or different, is one of the following:

$$\overset{\mathrm{O}}{--}\overset{\mathrm{II}}{\mathrm{C}}--\mathrm{CH_2OH}$$

or H; or CH₃; or OH; or amine or short carbo-aliphatic chain, substituted with two or three of the following: keto, hydroxy, sulfoxy, amide, or an amino acid residue such as serine, asparagine, or threonine; or ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5 and the right hand hydrocarbon chain may be substituted with up to five -OH or carbonyl groups;

120

13. A paclitaxel compound having the following bicyclo-octane chemical structure

$$R_1$$
 R_2
 R_3
 R_5
 R_6

wherein R₁ or R₂ or both R₁ and R₂ are hydrogen, methyl, acetyl, ethyl, short aliphatic chain (C₁ - C₄), or substituted aliphatic chain (C₁ - C₆) where substitution includes in one or two of the R₁ organic functional groups such as an amide; ketone; hydroxy; phenyl; carboxylic acid; an amino acid, for example, asparagine, glutamine, aspartic acid, glutamic acid, threonine, serine or tyrosine. Preferable chemical structures are obtained with the following:

 $R_1 = H \text{ or } CH_{3}$

 $R_2 = CH_3$; CH_2OCOCH_3 ; or

wherein R is H or singly, doubly, or triply substituted or fused; or

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wherein R' is H or CH₃;

wherein X = O; CH_2 ; NH; S; $S-CH_2$; $O-CH_2$ or none; wherein R_3 is one of the following:

20

wherein R' = OH when R'' = NHBOC; R' = H when R'' = NHBOC; R' = OH when R'' = H; R' = H when R'' = H

25

(These substituents are still active in paclitaxel per Guenard, et al. 1993. "Structure-activity relationships of taxol and taxotere analogues," *J Natl Cancer Inst Monogr* 15:79-82.);

30

wherein R' is as given above and R'" can also be substituted aryl (single or double) or fused aromatic ring as in tryptophan or imidazol ring, or substituted tryptophan; preferably, the aromatic ring can be substituted with carboxylic acid derivatives;

$$\begin{array}{c}
O \\
-C - C = C - \\
R \quad \text{(trans)}
\end{array}$$

35

or

or

$$-NH-C-C-C-NH-C-O$$

40 or

or

45

For the aryl groups in R₃, R can be H or singly, doubly, or triply substituted OH or preferably with electron withdrawing substituents such as fluoro (F') or trifluoromethyl (CF₃). R₃ can also be any group derived from the 13 position in taxane's skeleton that exhibits activity toward inhibiting the depolymerization of microtubules and/or anticancer activity;

50

wherein R₄ is one of the following:

when the aromatic ring is singly, doubly, or triply substituted;

or

where R"" is a fixed aromatic ring or substituted fused aromatic ring; or

wherein R"" can be H or a short nonsubstituted or substituted hydrocarbon chain C_n wherein n=1--3 or cyclopropane;

One or more substitution can be made on the aromatic ring of R_4 . Preferably, the substituent(s) on the substituted aromatic ring is an electron withdrawing substituent. Examples include fluoro- and chloro-substitution, but any electron-withdrawing substituent

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65

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compatible with the system may be used which provides a lower energy gap in a π - π interaction between the composition and aromatic amino acids of proteins;

wherein R₅ is one of the following:

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85

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or H; or CH₃; or small nonsubstituted or substituted hydrocarbon C_n where n = 1-5; or small nonsubstituted or substituted hydrocarbon ring or heterocyclic ring; or citric acid and derivatives thereof; or acetic acid and derivatives thereof; or ascorbic acid and derivatives thereof; or glucouroic acid or derivatives thereof; or lactose, sialic acid, or monosaccharides or disaccharides of glyceraldehyde, erythrose, threose, ribose, arabinose xylose lyxose, allose, altrose, glucose, mannose, gulose, idose, galactose, talose, or their acidic ketose, alditol or inositol forms; or calcium chelating molecule or oxygenated small molecule, i.e., small carboxylic acids; or a dipeptide such as "ASP-ASN" or "GLY-GLN", a cyclic dipeptide such as "PHE-GLN", or small organic molecules that mimic the functional properties of these peptides; or any organic molecule that exhibits calciumbinding properties similar to tetracyclin as given below

or

or

or

or

$$OH$$
 H_2C
 CH_2
 OH
 CH_2
 OH

or

95

100

or

105

ог

or

or in some cases can also be any of the R4 groups;

wherein R₆ and/or R₆', which can be the same or different, is one of the following:

or H; or CH₃; or OH; or amine or short carbo-aliphatic chain, substituted with two or three of the following: keto, hydroxy, sulfoxy, amide, or an amino acid residue such as serine, asparagine, or threonine; or ethers of the form -CH₂-O-(CH₂)_n-CH₃ where n=1-5 and the right hand hydrocarbon chain may be substituted with up to five -OH or carbonyl groups;

120



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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

A2

(54) Title: METHOD OF DESIGNING TUBULIN POLYMERIZATION STABILIZERS

(57) Abstract: A method for designing paclitaxel alternative compounds which stabilize the tubulin polymerization process has been found. These compounds in solution possess steric conformational properties of natural paclitaxel and are capable of binding to the tubulin protein at the same site where paclitaxel is known to bind. The compounds of the present invention stabilize tubulin polymerization in a way that is mechanistically equivalent to activity mechanism of paclitaxel. The compounds of the present invention have increased solubility, simpler synthesis, and the possibility for specificity and optimization due to the combinatorial reactions over natural paclitaxel.

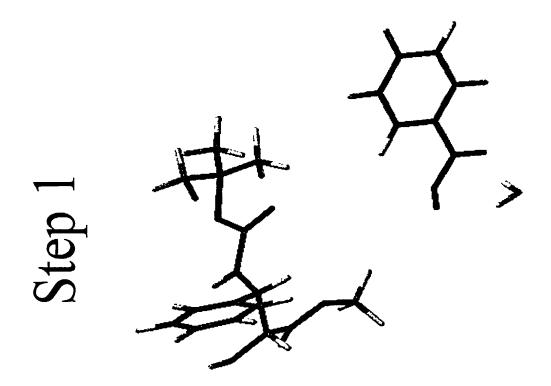


Fig. 1A

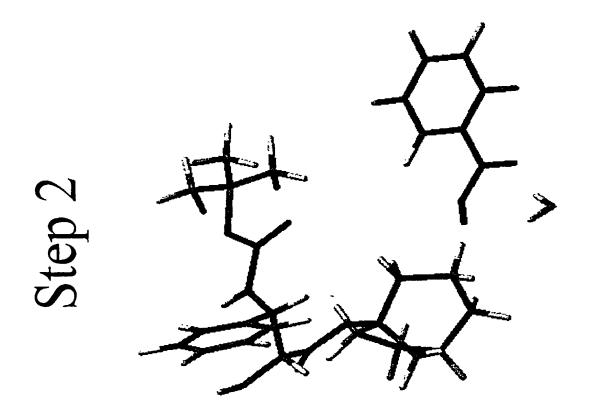


Fig. 1B

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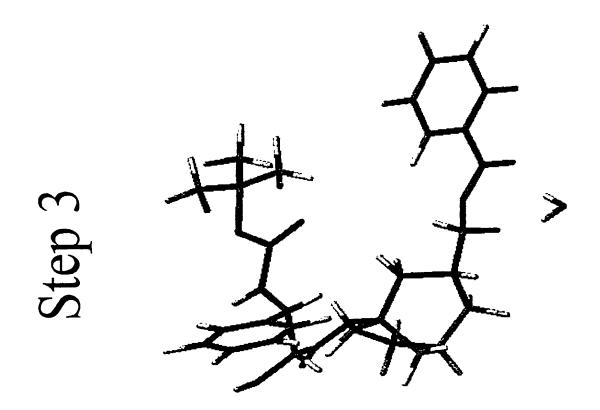


Fig. 1C

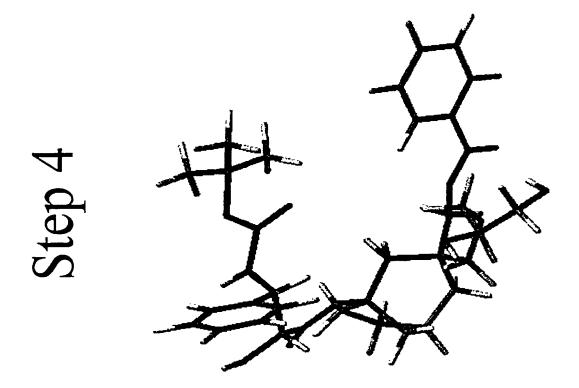


Fig. 1D

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WO 01/05779

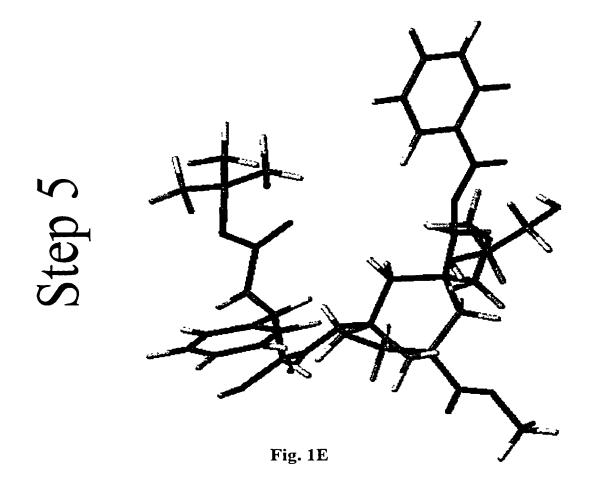


Fig. 2

 \mathbf{D}

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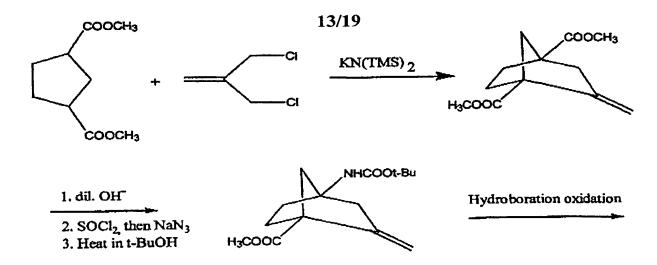
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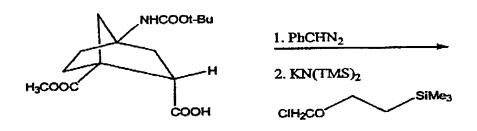
Route B:

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Fig. 7

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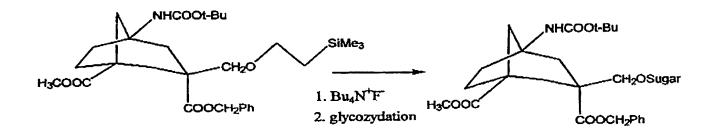
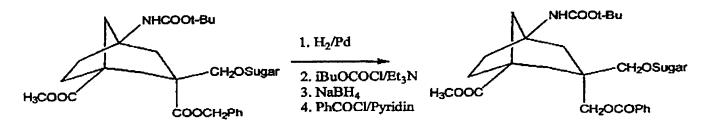
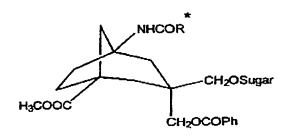


Fig. 9A



3. deblock R and sugar



TARGET

Fig. 9D

COOBI

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WO 01/05779

17/19

CODBn

Target 2

PCT/US00/19524

Fig. 9G

DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, that I believe that I am the original, first and sole inventor (if only one name is listed below) or I believe that we are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention, design or discovery entitled **METHOD OF DESIGNING TUBULIN POLYMERIZATION STABILIZERS**, the specification of which (check one)

()	is attached hereto, or
()	was filed on July 17, 2000, as PCT International Application Number
PCT/UŠÓO/19	9524 and under 35 U.S.C. §371 as Application Serial No 10/031,018

that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; that I do not know and do not believe that said invention, design or discovery was ever known or used in the United States of America before my invention or discovery thereof, or patented or described in any printed publication in any country before my invention or discovery thereof, or more than one year prior to this application, or in public use or on sale in the United States of America more than one year prior to this application, that said invention, design or discovery has not been patented or made the subject of an inventor's certificate issued prior to the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns; and that I acknowledge the duty to disclose information of which I am aware which is material to the examiner of this application in accordance with 37 C.F.R. § 1 56(a).

I hereby claim foreign priority benefits under 35 U S C § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

NUMBER COUNTRY

DATE FILED

PRIORITY CLAIMED

N/A

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

APPLICATION SERIAL NO.

DATE FILED

60/143,973 60/171,892 July 15, 1999 December 23, 1999

I hereby claim the benefit under 35 U.S.C. § 120 of any United States Application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States Application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37

DECLARATION AND POWER OF ATTORNEY APPLICATION SERIAL NO. 10/031,018

PAGE 1 OF 3

C.F.R. § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.

DATE FILED

STATUS

N/A

I hereby appoint:



Eugenia S. Hansen Karen L. Knezek Rod A. Cooper

Reg. No. 31,966 Reg. No 39,253 Reg No 42,436

all of the firm of Sidley Austin Brown & Wood LLP, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities under the Patent Cooperation Treaty.

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Direct telephone calls to:

Eugenia S. Hansen at (214) 981-3315 Atty Docket No. 10365/07406

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

1-00

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Inventor's signature

Date. $\frac{9}{15}$ / 0 λ

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DECLARATION AND POWER OF ATTORNEY **APPLICATION SERIAL NO. 10/031,018**

PAGE 2 OF 3

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